PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor:	Jonathan A. Tester	Examiner:	Thomas R. Peeso
Application No.:	10/691,443	Art Unit:	2132
Filed:	October 22, 2003	Docket No.:	SYMAP038
Title:	CONTROLLING FILE OPERATIONS		

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in a prepaid envelope addressed to: Mail Stop Amendment Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

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Veronica Pula

INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION OR
NOTICE OF ALLOWANCE (37 CFR 1.97(c))

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313

Dear Sir:

The references listed in the attached PTO SB/08, may be material to examination of the above-identified patent application. Applicants disclose these references in compliance with their duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. The Examiner is requested to make these references of official record in this application. Copies of the cited references are provided to the extent required by 37 CFR §1.98 and any applicable waivers.

This Information Disclosure Statement is being filed after the mailing date of the first Office Action on the merits, or after three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application, whichever event occurred last, but it is believed, before the mailing date of either (1) a final action under §1.113 or (2) a notice of allowance under §1.311, whichever occurs first.

Atty Docket No. SYMAP038
Application No.: 10/691,443

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Accompanying this Information Disclosure Statement is the fee set forth in 37 CFR 1.17(p) for submission of an information disclosure statement under §1.97(c). ($180.00). If it is determined that any additional fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 50-0685 (Order No. SYMAP038).

Respectfully submitted,
VAN PELT, YI & JAMES LLP

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PTO/SB/08 (08-03)

Substitute for form 1449/PTO			Complete if Known	
Information Disclosure Statement By Applicant *(Use as many sheets as necessary)*			Application No.:	10/691,443
			Filing Date	October 22, 2003
			First Named Inventor	Jonathan A. Tester
			Art Unit	2132
			Examiner Name	Thomas R. Peeso
Sheet	1	of	1	Atty Docket No.	Jonathan A.

U.S. Patent Documents					
Examiner Initials	Cite No.	Document Number Number-Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A.				
	B.				
	C.				
	D.				
	E.				
	F.				

FOREIGN PATENT DOCUMENTS					
Examiner Initials	Cite No.	Foreign Patent Document Country Code–Number–Kind Code *(if known)*	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	G.				
	H.				
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T^2
	J.	STEVE BREMER, Linux Intrusion Detection System (LIDS) FAQ., April 27, 2002, originally at http://www.clublinux.org/lids/LIDS-FAQ.txt currently at http://web.archive.org/web/20020602181923/http://www.clublinux.org/lids/LIDS-FAQ.txt	
	K.		
	L.		
	M.		
	N.		
	O.		
	P.		

Examiner	Date Considered

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

T^2 = Applicant is to place a check mark here if English language Translation is attached